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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/670,077	09/23/2003	Daniel Yohannes	00-624-A1	6869
7590	08/18/2004			
Steven J. Sarussi McDonnell Boehnen Hulbert & Berghoff 32nd Floor 300 S. Wacker Drive Chicago, IL 60606			EXAMINER HABTE, KAHSAY	
			ART UNIT	PAPER NUMBER
			1624	
DATE MAILED: 08/18/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/670,077	Applicant(s) YOHANNES ET AL.	
	Examiner Kahsay Habte, Ph. D.	Art Unit 1624	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-3, 9-34, 61-63, 65-77, 81-83, 87-96, 99, 101, 106-121 and 124 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) ____ is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☒ Claim(s) 1-3, 9-34, 61-63, 65-77, 81-83, 87-96, 99, 101, 106-121 and 124 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|--|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date ____ | 6) <input type="checkbox"/> Other: ____ |

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DETAILED ACTION

1. Claims 1-3, 9-34, 61-63, 65-77, 81-83, 87-96, 99, 101, 106-121 and 124 are pending.

Restriction/Election

2. Restriction to one of the following inventions is required under 35 U.S.C. 121:
 - I. Claims 1-3 (in part), 14-17, 35 (in part), 37, 38-41 (in part), 68-69, 83 (in part), 87-96 (in part), 99 (in part), 101 (in part), 106 (in part) and 124 (in part), drawn to tricyclic compounds where **A** ring in the formula is diazine (six-membered ring with two nitrogens; for example, Ex. 7), classified in class 544, subclass 250.
 - II. Claims 1-3 (in part), 9-13, 35 (in part), 36, 38-41 (in part), 60, 61-63 (in part), 65-67, 80 (in part), 83 (in part), 87-96 (in part), 99 (in part), 101 (in part) and 106 (in part) and 124 (in part), drawn to tricyclic compounds where **A** ring in the formula is azine (six-membered ring with one nitrogen; for example, Ex. 5a), classified in class 546, subclasses 82 and 84.
 - III. Claims 1-3 (in part), 22-25, 27-31, 34 (in part), 61-63 (in part), 74-77, 83 (in part), 106 (in part) and 124 (in part), drawn to tricyclic compounds where **A** ring in the formula is azole with S or O (five-membered ring with one nitrogen and sulfur or oxygen; for example, Ex. 16, scheme 8 [step 3a], Ex. 13), classified in class 548, subclasses 151 and 242.

- IV. Claims 1-3(in part), 4-8, 18, 26, 34 (in part), 61-63 (in part), 70-73, 82, 83 (in part), 106 (in part) and 124 (in part), drawn to tricyclic compounds where **A** ring in the formula is azole with just N (five-membered ring with one or two nitrogens; for example, Ex. 17, scheme 8, Ex. 8, Ex. 10), classified in class 548, subclasses 359.5 and 302.1.
- V. Claims 1-3 (in part), 42-59 (in part) and 81, drawn to others, classified in class various, subclass various.

The inventions are distinct, each from the other because of the following reasons: Groups I-V are directed to structurally dissimilar compounds such that the variable core created by the varying definitions of **a** or **b** in the formula do not belong to the same recognized class of chemical compounds in the art, and references anticipating one invention, would not render obvious the others. For example, Group I is drawn to diazines and is different from Groups II-V, since two nitrogens in a six-membered ring is not present in other groups. Group II is drawn to monoazines (one nitrogen in six membered ring) and is different from Group I or Groups III-V. Group III is drawn to azoles with O or S and is different from Groups I-II or Groups IV-V. Group IV is directed to azoles with just nitrogens (5-membered ring with one or two nitrogens) and is also different from other groups. Group V is drawn to others (core structures that does not fall in Groups I-IV) and is different from other groups e.g. A = morpholine , thiomorpholine, etc. Thus, separate searches in the literature as well as in the U.S. Patent Classification System would be required. Each group's compounds are made and used independently of each other and could support separate patents. The

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compounds differ significantly in chemical structures. One skilled in the art would not consider such diverse structure equivalents of each other.

If Group V is elected; tentative election of a single species is required.

In addition, one method of use maybe elected from the following:

- A. Pharmaceutical compositions (kits), claims 120-121
- B. Analytical, claims 107-119.

Methods A and B are different one from the other, since the pharmaceutical compositions (kits) entails a biological effect. Method B does not entail a biological effect, but obtains information to demonstrate the presence of a receptor.

Because these inventions are distinct for the reasons given above and have acquired separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

A telephone call was made to Mr. Steven Sarussi to request an oral election to the above restriction requirement, but did not result in an election being made.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kahsay Habte, Ph. D. whose telephone number is (571) 272-0667. The examiner can normally be reached on M-F (9.00AM- 5:30PM).

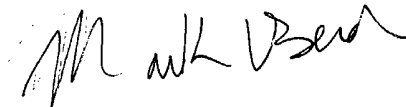
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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mukund Shah can be reached on (571) 272-0674, if there is no reply within 24 hours, James Wilson (Acting SPE) can be reached at (571) 272-0661. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Kahsay Habte, Ph. D.
Examiner
Art Unit 1624



Mark L. Berch
Primary Examiner
Art Unit 1624

KH
August 12, 2004